Form PTO-1449 (moded)

List of Patents and Publication

For Applicant's Information Disclosure Statement

TTY. DKT. NO. 5181-59100

APPLICANT: Howard

SERIAL NO. 09/739,618

GROUP: 2152 2143

FILING DATE: December 18, 2000

(U	se severa	l sheets if necessary)	FILING DAT	E: December 18, 200	00			
		U	.S. PATENT I	OCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
MK	B1	6,128,623	10/3/00	Mattis, et al.				
MK	B2	5,761,677	6/2/98	Senator, et al.				
MK	В3	5,828,876	10/27/98	Fish, et al.	RECEIV	ΈD		
				APR 0 7 2003				
				Technology Center 2100				
		FOR	EIGN PATEN	T DOCUMENTS	5			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
WK	B4	94/29807	12/22/94	wo				
		OTHER ART (I	ncluding Author,	, Title, Date, Pertiner	nt Pages, Etc.)			
MK	В5	Best, S., "JFS Log. How the Journaled File System Performs Logging," Proceedings of the 4 th Annual Linux Showcase and Conference, - October 10, 2000, XP-002231204, 6 pages.						
ΜK	В6	Gray, J. et al., "Transaction Processing: Concepts and Techniques," 1994, Morgan Kaufman Publishers, Inc., XP-002231205, 5 pages.						
MK	В7	Srinivasan, B. et al., "Recoverable File System for Microprocessor Systems," Microprocessors and Microsystems, IPC Business Press LTD. Longd, vol. 9, no. 4, May 1, 1995, XP-002026284, pp. 179-183						
MK	В8	"An Introduction to the Mass Storage System Reference Model, Version 5," Robert A. Boyne, et al., IBM Corp., IEEE, 1993, pp. 47-53						
M/C	В9	"Distributed Information Storage Architecture," R. Baird, et al., Hewlett-Packard Corp, IEEE 1993, pp. 145- 155						
MK	B10	International Search Report for PCT/US 01/48511, mailed March 4, 2003, 7 pages.						
			<u></u>					
	<u> </u>							

EXAMI	NER:

DATE CONSIDERED:

4/26/64

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner. Form PTO-1449 (mod red)

List of Patents and Publication PADENA For Applicant's Information Disclosure Statement

TY. DKT. NO. 5181-59100

SERIAL NO. 09/739,618

APPLICANT: Howard

GROUP: 2152

(U	se severa	al sheets if necessary)	FILING DATI	E: December 18, 2000				
		U.	s. Patent D	OCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
					R	ECEN	ÆD-	
						APR 05	004	
					Tech	nology Cer	ter 2100	
		FORI	EIGN PATEN	T DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		·	
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
MK	C1	1 049 029	11/2/00	EP				
		OTHER ART (In	icluding Author,	Title, Date, Pertinent	Pages, Etc.)			
MIC	C2	David F. Nagle, et al., "Network Support for Network-Attached Storage," Dept. of Electrical and Computer Engineering, Carnegie Mellon Univ., Proc. Hot Interconnects, August 1999, 6 pages.						
MK	C3							
				. ,				
···· = 4 · 4 · · · · · · · · · · · · · ·								
	<u> </u>	L				-		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

1 5

Form PTO-1449 (modified)			ATTY. DKT. NO. 5181-59100		SERIAL NO. 09/739,618			
List of Patents and Publications PFor Applicant's Information Diadosure Statement			APPLICANT: Howard			GROUP: 2152		
						214	<i>t</i> 3	
(Use several sheets if necessary)			 	ATE: December 18, 2000	<u> </u>			
	<u> </u>		*	S. PATENT DOCUMENTS				
EXAM.	DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
					ļ	-		
						RECEIVED		
					J	UN 720	01	
		****					- 1100	
					Tech	nology Cente	1 2100	
		FOR	EIGN PATE	NT DOCUMENTS				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO	
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
MK	A1	Gray et al., <u>Transaction Processing: Concepts and Techniques</u> , © 1993 Morgan Kaufmann Publishers, Inc., pp. 5-7.						
MK	A8	Gibson et al., "NASD Scalable Storage Systems," June 1999, pp. 1-6.						
MK	A3	"VERITAS File System Performance," © 1996 VERITAS Software Corp., pp. 1-41.						
/ MK	A4	"File System Performance," © 1996 VERITAS Software Corp., pp. 1-17.						
MK	A5	"File System," © 1996 VERITAS Software Corp., pp. 1-17.						
WK	A6	Hitz et al., "File System Design for an NFS File Server Appliance," © 1994 The USENIX Assoc., pp. 1-23.						
MIC	A7	"Object Based Storage Devices: A Command Set Proposal," October 1999, pp. 1-65.						
		<u> </u>						

EXAMINER:

sist I

DATE CONSIDERED: 4/26/84

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.